

Form PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER P20854
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/787,916
INTERNATIONAL APPLICATION NO. PCT/JP99/05378	INTERNATIONAL FILING DATE 30 September 1999	PRIORITY DATE CLAIMED 2 October 1998	
TITLE OF INVENTION METHOD FOR INDUCING CELLULAR IMMUNITY AND CELLS HAVING INDUCED CELLULAR IMMUNITY			
APPLICANT(S) FOR DO/EO/US Hiroshi SHIKU and Junzo SUNAMOTO			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.			
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)) 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). "Executed" 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)). 			
Items 11 to 16 below concern other document(s) or information included:			
11. Assignee: <u>mitsubishi chemical corporation of Tokyo, JAPAN</u>			
12. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
14. <input type="checkbox"/> A FIRST preliminary amendment. <input checked="" type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.			
15. <input type="checkbox"/> A substitute specification.			
16. <input type="checkbox"/> A change of power of attorney and/or address letter.			
17. <input checked="" type="checkbox"/> Figure of Drawing to be published: <u>Figure 1</u>			
18. <input checked="" type="checkbox"/> Other items or information: Cover Letter. Cover Letter Accompanying Sequence Listing and Statement that the Content of Paper and Computer Readable Copies are the Same. Diskette containing Sequence Listing, with paper copy of the same (1 sheet). Copies of Forms PCT/DO/EO/905, PCT/DO/EO/917, and PCT/DO/EO/920.			

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
09/794,366

INTERNATIONAL APPLICATION NO.
PCT/JP99/05378

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19. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JPO. \$ 860.00
International preliminary examination fee paid to USPTO (37 CFR 1.482). \$ 690.00
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but
international search fee paid to USPTO(37 CFR 1.445(a)(2)). \$ 710.00
Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2) paid to USPTO. \$1,000.00
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all
claims satisfied provisions of PCT Article 33(2)-(4). \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$130.00

Claims	Number Filed	Number Extra	RATE		
Total Claims	14 - 20 =	0	X \$18.00	\$ 0.00	
Independent Claims	3 - 3 =	0	X \$80.00	\$ 0.00	
Multiple dependent claim(s) (if applicable)		0	+ \$270.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$130.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00	
SUBTOTAL =				\$130.00	
Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
Extension of Time fee in the amount of \$				\$ 0.00	
TOTAL NATIONAL FEE =				\$130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00	
TOTAL FEES ENCLOSED =				\$130.00	
07/10/2001 MNGUYEN 00000106 09787916				Amount to be refunded	\$
01 FC:154 130.00.00				Charged	\$

a. ☒ A check in the amount of \$130.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 19-0089.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and
granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055
AT THE PRESENT ADDRESS OF:
Bruce H. Bernstein
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

SIGNATURE
Bruce H. Bernstein
NAME
29,027
REGISTRATION NUMBER

REC'D PCTO

#3
09 JUL 2001

09/787916

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hiroshi SHIKU et al.

Serial No : 09/787,916
(National Stage of PCT/JP99/05378)

I.A. Filed : September 30, 1999

For : METHOD FOR INDUCING CELLULAR IMMUNITY AND CELLS HAVING
INDUCED CELLULAR IMMUNITY

COVER LETTER

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In order to comply with the requirements (copy enclosed) for the submission of an executed declaration of the International Application set forth in the Notification mailed May 9, 2001 which sets a two month period of response until July 9, 2001, Applicants hereby submit:

- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371;
 - an executed Declaration and Power of Attorney;
 - a Cover Letter Accompanying Sequence Listing and Statement that the Content of the Paper and Computer Readable Copies are the Same;
 - a Diskette containing Sequence Listing, with paper copy of the same (1 sheet);
 - a check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.
- Also enclosed is:
- a Supplemental Preliminary Amendment; and
 - an Information Disclosure Statement, form PTO-1449, and references cited.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0089.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below listed number.

Respectfully submitted,
Hiroshi SHIKU et al.

Steven J. Helmer
Reg. No. 40,475

Bruce H. Bernstein
Reg. No. 29,027

July 9, 2001
GREENBLUM & BERNSTEIN, P.L.C.
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